## JC07 Rec'd PCT/PTO

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE RANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER
PF-0628 USN

U.S. APROCATION NO (Party n. 2 e OCFR 1.5)

INTERNATIONAL APPLICATION NO. PCT/US99/25499

INTERNATIONAL FILING DATE
29 October 1999

PRIORITY DATE CLAIMED
29 October 1998

TITLE OF INVENTION

TRANSMEMBRANE 4 PROTEINS

APPLICANT(S) FOR DO/EO/US

INCYTE PHARMACEUTICALS, INC.; TANG, Y. Tom; ARGENTINE, Charles C.; CORLEY, Neil C.; GORGONE, Gina A.; GUEGLER, Karl J.; BAUGHN, Mariah R.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. 

   This is the FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. 

  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3. This is an express request to promptly begin national examination procedures (35 U.S.C. 371 (f)).
- 4.  $\Box$  The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
- 5. ⊠ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  $\Box$  is attached hereto (required only if not communicated by the International Bureau)
  - b.  $\Box$  has been communicated by the International Bureau.
  - c.  $\boxtimes$  is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  $\square$  are attached hereto (required only if not communicated by the International Bureau).
  - b.  $\square$  have been communicated by the International Bureau.
  - c.  $\Box$  have not been made; however, the time limit for making such amendments has NOT expired.
- 8.  $\square$  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. 

   An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 16 below concern document(s) or information included:

- 11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.27 and 3.31 is included.
- 13. □ A FIRST preliminary amendment.
  - ☐ A SECOND or SUBSEQUENT preliminary amendment.
- 14. ☐ A substitute specification.
- 15. ☐ A change of power of attorney and/or address letter.
- 16. ☑ Other items or information:
- 1) Transmittal Letter (2 pp, in duplicate)
- 2) Return Postcard
- 3) Express Mail Label No.: <u>EL 856 113 005 US</u>
- 4) Request to Transfer

U.S. APPLICATION NO TO BE ASSIGNED	37903278	INTERNATIONAL APPI PCT/US99/ 25499			S DOCKET NUMBER	
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1000.00 □International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.482)) paid to USPTO\$710.00 □International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00 □International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00					·.	
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$690.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than $\square$ 20 $\square$ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims	20 =	0	X \$ 18.00	X \$ 18.00		
Independent Claims	2 =	0	X \$ 80.00	_	\$	
MULTIPLE DEPEND	ENT CLAIM(S) (if applie	cable)	+ \$270.00		\$	
TOTAL OF ABOVE CALCULATIONS =					\$690.00	
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$	P
SUBTOTAL =					\$690.00	
Processing fee of \$130.00 for furnishing the English translation later than \( \sigma 20 \) \( \sigma 30 \) months from the earliest clailmed priority date (37 CFR 1492(f)). \( + \)					\$	
TOTAL NATIONAL FEE =					\$690.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$	
TOTAL FEES ENCLOSED =					\$690.00	
					Amount to be Refunded:	\$
					Charged:	\$
a. □ A check in the amount of \$						
INCYTE GENOMICS, INC. 3160 Porter Drive Palo Alto, CA 94304  NAME: Diana Hamlet-Cox						
REGISTRATION NUMBER: 33,302						
		- u	April 2001			

Docket No.: PF-0628 USN

"Express Mail" mailing label number <u>EL 856 113 005 US</u>. I hereby certify that this document and referenced attachments are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, addressed to: Commissioner for Patents, Box Provisional Patent Application, Washington, D.C. 20231 on April 2001

09/830328

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2 4 APR 2001

By Harting

Printed: Ted Martinez

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Y. Tom Tang, Charles C. Argentine, Neil C. Corley, Gina A. Gorgone, Karl J.

Guegler & Mariah R. Baughn

Title:

TRANSMEMBRANE 4 PROTEINS

Serial No.:

To Be Assigned

Filed:

Herewith

Examiner:

To Be Assigned

Group Art Unit:

To Be Assigned

Commissioner for Patents Box Patent Application Washington, D.C. 20231

## **REQUEST TO TRANSFER**

Sir:

With regard to the requirement of 37 CFR 1.821(e) which requires that a copy of the Sequence Listing in computer readable form (CRF) be submitted, Applicants state that the paper copy of the Sequence Listing for the instant application is identical with the computer readable form filed with PCT Application No. PCT/US99/25499, filed October 29, 1999, in the US/RO to which priority is claimed. In accordance with 37 C.F.R. §1.821(e), please use the computer readable form filed with PCT Application No. PCT/US99/25499 as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant divisional application.

Applicants believe that no fee is due with this communication. However, if the USPTO determines that a fee is due, the Commissioner is hereby authorized to charge Deposit Account No. 09-0108. This form is enclosed in duplicate.

Respectfully submitted,

INCYTE GENOMICS, INC.

Date:

24 Amril 2001

Diana Hamlet-Cox

Reg. No. 33,302

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